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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/807,802

Filing Date

04/17/2001

First Named Inventor

James A. Wilson et al

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

18

Attorney Docket Number

GNVPN.031AUSA

ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/
Incomplete Application☒ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please
identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameCathy A. Kodroff, Esquire
Howson and Howson

Signature

Date

5-31-02

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

05/31/2002

Typed or printed name

Debra N. Gerstemeier

Signature

Date

5-31-2002

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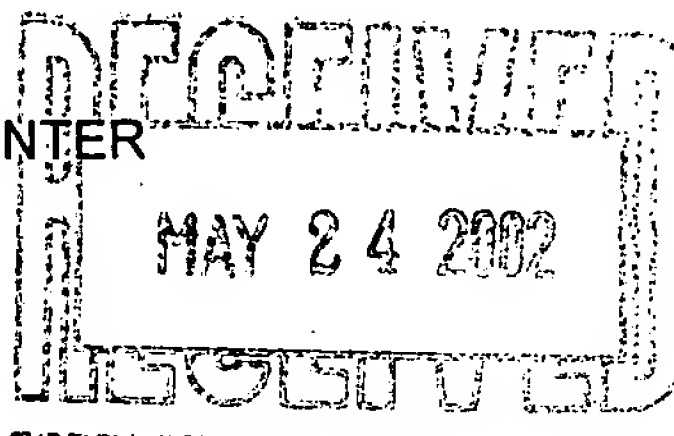
UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807,802	James M Wilson	GNVPN.031 USA

00270

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INTERNATIONAL APPLICATION NO.	
PCT/US99/25694	
I.A. FILING DATE	PRIORITY DATE
11/02/1999	11/05/1998

CONFIRMATION NO. 5849

371 FORMALITIES LETTER



OC000000008111746

ENTERED
 DUE 7-20-02

Date Mailed: 05/20/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 305-2936

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/807,802	PCT/US99/25694	GNVPN.031 USA

FORM PCT/DO/EO/905 (371 Formalities Notice)



GNVPN.031AUSA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of) Group Art Unit:
)
James A. Wilson et al) Examiner:
)
Appln. No.: 09/807,802)
)
Filed: April 17, 2001)
)
For: ADENO-ASSOCIATED VIRUS) May 31, 2002
SEROTYPE I NUCLEIC ACID)
SEQUENCES, VECTORS AND HOST)
CELLS CONTAINING SAME)

Commissioner for Patents
U. S. Patent and Trademark Office
Box PCT
Washington, DC 20231

Sir:

Attn: Application Division

This is in response to the Notification of Missing Requirements under 35 USC §371 in the United States Designated/Elected Office (DO/EO/US), the Notice being dated May 20, 2002.

Applicants and the undersigned attorney herewith submit the following:

- (a) a copy of the Notice;

CERTIFICATE UNDER 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: the Assistant Commissioner for Patents, Washington, DC 20231 on May 31, 2002.

Signature

Typed or printed name

Debra N. Gerstemeier

- (b) a copy of the combined Declaration and Power of Attorney form by the first inventor, James M. Wilson; and
- (c) a copy of the combined Declaration and Power of Attorney form by the second inventor, Weidong Xiao.

The executed combined Declaration and Power of Attorney form by the first inventor, James M. Wilson, was filed with the above-identified patent application on April 17, 2001 as evidenced by copies of the undersigned attorney's return postcard receipt and US Express Mail receipt (Exhibit A) and as further evidenced by the undersigned attorney's transmittal letter (Exhibit B). The executed combined Declaration and Power of Attorney form by the second inventor, Weidong Xiao, was filed on November 29, 2001 as evidenced by copies of the undersigned attorney's return postcard receipt and US Express Mail receipt (Exhibit C) and as further evidenced by the undersigned attorney's transmittal letter (Exhibit D). Additionally, along with the filing of the executed combined Declaration and Power of Attorney form by the second inventor, Applicants and the undersigned attorney paid the surcharge fee of \$130.00 as evidenced by a copy of the undersigned attorney's cancelled check No. 17493 (Exhibit E). Therefore, no fees are believed due with the filing of this response. However, the Director of the U. S. Patent and Trademark Office is hereby authorized to charge any deficiency in any fees due with the filing of this paper or credit any overpayment in any fees paid on the filing, or during prosecution of this application to Deposit Account No. 08-3040.

Respectfully submitted,
HOWSON AND HOWSON
Attorneys for the Applicants

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